

R+V AIFM S.à.r.l

***INVESTORS AND BUSINESS
COUNTERPARTIES PRIVACY NOTICE***

Last updated: May 2025



1 Introduction

In accordance with article 13 of the General Data Protection Regulation (“GDPR”), R+V AIFM S.à r.l (the “Company”, “we”), as data controller may process, by electronic or other means, the personal data supplied by its clients, business partners or third parties - such as amongst others central administrators, portfolio / investment managers, custodians - including, but not limited to, representatives, contact points, authorized agents, directors, conducting officers, investors, subscribers, proxy holders or beneficial owners (the “Data Subjects”).

The current Privacy Notice describes to Data Subjects how their personal data are collected and processed in the context of their contractual relationship and provides further explanation on the purposes, legal basis, sharing and transfer of personal data, as well as rights that Data Subjects have in relation to their personal data.

We may update this notice from time to time, and in such case publish the latest version on the Company’s website. If you have questions, please seek additional information from AIFM Data Protection Coordinator (dataprotection@ruv-aifm.lu).

2 What are the applicable legal basis, purpose for processing and categories of personal data?

Business Partners (e.g. consultants, office visitors or points of contact of suppliers, audit firms and other service providers)		
Legal basis	Processing purpose	Personal data
Contract performance (article 6(1)(b) GDPR)	<ul style="list-style-type: none"> Entering or performing a contractual relationship between the Company and Business Partners, such as advisory / audit providers, suppliers of products, goods or services, on-site support from consultants, central administrators, portfolio / investment managers, custodians. To manage payments, fees and charges and to collect and recover money owed to the AIFM 	<ul style="list-style-type: none"> Identity and personal information (e.g., name, surname, gender) Contact information (e.g., email address, telephone number) Professional information (e.g., title, qualifications and competences, CV) and, if applicable, financial information related to a data subject
Legal obligations (article 6(1)(c) GDPR)	<ul style="list-style-type: none"> Perform relevant checks to comply with anti-money laundering and financing of terrorism rules 	<ul style="list-style-type: none"> Identity and personal information (e.g., ID and passport) AML/KYC information (e.g. criminal records and information collected via other means, such as third-party databases)
Legitimate interest (article 6(1)(f) GDPR)	<ul style="list-style-type: none"> Assurance of security of the Company’s facilities and assets Normal course of the Company’s business (e.g. administrative, accounting and corporate purposes, maintain contact with the Data Subject or to develop the Company’s services) To facilitate the evaluation, negotiation, or completion of a corporate transaction, such as a business sale, merger, or transfer of assets or services 	<ul style="list-style-type: none"> Security information (e.g., login data used to access the Company website or internet) Identity, contact, and professional information Any type of data deemed strictly necessary in the context of corporate transactions based on a case-by-case evaluation

Investors and Business Counterparties Privacy Notice

Clients		
Legal basis	Processing purpose	Personal data
Contract performance (article 6(1)(b) GDPR)	<ul style="list-style-type: none"> To consider opening an account, or entering into a relationship at Client request To deliver the services requested by current or envisaged Clients, including liaising with third parties (ex. brokers for the purposes of executing transactions, service providers for valuation or reporting services) and to manage those service relationships on an ongoing basis incl. the notification about changes to terms of business or privacy notice, etc. Entering or performing a contractual relationship between the Company and the Client (including manage Client relationship, manage accounts and credit balances, manage the products and related services, execute banking operations of any nature, manage and administer investors' holdings, to process subscription, redemption or conversion orders, to perform corporate fund services and fund structuring, maintaining controls in respect of late trading and market timing practices, maintaining the register of unitholders) To manage payments, fees and charges and to collect and recover money owed to the AIFM 	<ul style="list-style-type: none"> Identity and personal information (e.g., name, surname, gender) Contact information (e.g., email address) Financial information (e.g., personal data pertaining to investors in investment funds, banking details, invested amounts, remitting payment for subscriber's account) Professional information (e.g., title, qualifications and competences, CV) Financial information (e.g., personal data pertaining to investors in investment funds, banking details, invested amounts, remitting payment for subscriber's account) Professional information (e.g., title, qualifications and competences, CV)
Legal obligations (article 6(1)(c) GDPR)	<ul style="list-style-type: none"> Purpose of prevent abuses and fraud, as well as complying with legal obligations, notably with applicable anti-money laundering and financing of terrorism rules, and with applicable national and international sanctions lists and embargos To interact with governmental or regulatory bodies or other competent national authorities 	<ul style="list-style-type: none"> Identity and personal information (e.g., ID and passport) AML/KYC information (e.g. criminal records and information collected via name screening and reputation controls relevant for the activity of the Company)
Legitimate interest (article 6(1)(f) GDPR)	<ul style="list-style-type: none"> To carry out statistics and tests, and to develop commercial offers for equivalent products or services (indirect marketing) To run our business, including managing contractual relationship, meeting administrative accounting and corporate rights and obligations To protect our business, including improving data security, troubleshooting data and systems, system maintenance and testing, data hosting, managing our offices and other facilities To ensure internal quality and risk management To manage litigation and debt recovery To facilitate the evaluation, negotiation, or completion of a corporate transaction, such as a business sale, merger, or transfer of assets or services 	<ul style="list-style-type: none"> Security information (e.g., related to the monitoring and security measures adopted by the AIFM) Identity, contact, financial and professional information Any information needed in the context of litigation of debt recovery Any type of data deemed strictly necessary in the context of corporate transactions based on a case-by-case evaluation

3 Transfers of personal data to third parties

Personal data will not be transferred to any third parties, except to entities required for the performance of the processing of personal data for the aforementioned purposes. To this end, the Company may transfer personal data to external service providers, auditors, legal advisors, affiliates, corporate transaction counterparties or other entities at Company group level (the “Recipients”).

In such cases, the Company ensures that relevant Recipients process personal data only in accordance with dedicated instructions and/or based on adequate privacy and data protection measures and contractual framework. Should the Recipients not be based in the European Union or in countries outside of the European Union ensuring an adequate level of protection of personal data, the Company and the Recipients put in place appropriate transfer safeguards, such as EU standard contractual clauses to ensure the relevant personal data is adequately protected.

4 Transfer of personal data to authorities

To the extent required by applicable law, personal data may also be transferred to judicial and/or administrative authorities. In accordance with applicable legal and regulatory tax provisions pertaining to the automatic exchange of information, personal data may also be disclosed to the Luxembourg tax authorities, which in turn may, acting as data controller, disclose it to foreign tax authorities.

5 Personal data retention period

Personal data will be stored for the longest of the following periods: (i) as long as is necessary for the purpose of which it was collected, (ii) any retention period that is required by law or (iii) the end of the liability period in which litigation or investigations might arise in respect of our services and business. After the applicable retention period(s) have expired, personal data will be deleted or anonymized.

6 Your rights in respect of your personal data

Each Data Subject has a right to access his/her personal data and may ask for such personal data to be rectified when it is inaccurate or incomplete. Each Data Subject also has a right to be informed and to object to the processing of such personal data, to ask for erasure of such Personal Data, to ask for data portability and for the limitation of processing of such personal data.

In relation thereto, the Data Subject may exercise the above rights by writing to the AIFM Data Protection Coordinator (dataprotection@ruv-aifm.lu). The Data Subject also has a right to lodge a complaint with the Luxembourg data protection supervisory authority, the National Commission for Data Protection (CNPD).